

FORM PTO-1390  
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

259/280

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

(Not yet assigned) 09/762837

INTERNATIONAL APPLICATION NO.

PCT/DE99/02567

INTERNATIONAL FILING DATE

August 16, 1999

PRIORITY DATE CLAIMED

August 31, 1998

TITLE OF INVENTION

SYSTEM FOR ENABLING A MOVING PERSON TO CONTROL BODY MOVEMENTS TO BE PERFORMED BY SAID PERSON

APPLICANT(S) FOR DO/EO/US

SIEMENS AKTIENGESSELLSCHAFT

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

09762837 FEB 2001

U.S. APPLICATION NO. (If known, see 37 CFR 1.57)  
(Not yet assigned) 09/176283

INTERNATIONAL APPLICATION NO.

PCT/DE99/0

ATTORNEY'S DOCKET NUMBER  
259/28021. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO. .... \$1000.00International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	15 - 20 =	0	x \$18.00	\$
Independent claims	1 - 3 =	0	x \$80.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$

**TOTAL OF ABOVE CALCULATIONS =** \$ 860.00☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above  
are reduced by 1/2.

+

**SUBTOTAL =** \$ 860.00Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE =** \$ 860.00Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. +

\$

**TOTAL FEES ENCLOSED =** \$ 900.00Amount to be  
refunded:

\$

charged:

\$

- a. ☒ A check in the amount of \$ 900.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 12-2475. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card  
information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Lyon & Lyon LLP  
633 West Fifth Street, Suite 4700  
Los Angeles, CA 90071-2066  
(213) 489-1600  
PTO Customer No. 22249

SIGNATURE

Rouget F. Henschel

NAME

39,221

REGISTRATION NUMBER.

LYON & LYON LLP FILING RECORD

Attorney Docket No.: 259/280  
Today's Date: February 13, 2001  
Attorney: RFHenschel  
Fee Transmitted Herewith: \$900.00  
Serial No.: Not yet assigned  
Filing Date: Submitted herewith  
Title: **SYSTEM FOR ENABLING A MOVING PERSON TO CONTROL  
BODY MOVEMENTS TO BE PERFORMED BY SAID PERSON**  
Inventor(s): Thomas Birkhölzer et al.



**DOCUMENTS BEING FILED:**

The PTO stamp hereon acknowledges receipt of:

- (1) Copy of this Record of Filing
- (2) Transmittal Letter to DO/EO/US Concerning A Filing Under 35 U.S.C. 371
- (3) Copy of International Application As Filed
- (4) English Translation of International Application
- (5) Declaration
- (6) English Translation of the Annexes of the International Preliminary Examination Report
- (7) Information Disclosure Statement
- (8) Form PTO-1449 citing seven references (copies of references included)
- (9) Assignment with Recordation Form Cover Sheet
- (10) Preliminary Amendment
- (11) Check in the amount of \$900.00
- (12) Return postcard

DC-4740.1

CERTIFICATE OF HAND DELIVERY

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being hand delivered on the date shown below to the Commissioner for Patents, Washington, D.C. 20231.

MICHAEL A. SMITH  
Printed Name of Person Delivering Paper

February 13, 2001  
Date of Delivery

Michael A. Smith  
Signature of Person Delivering Paper